

# **EXHIBIT 26**

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF DELAWARE

ADVANCED CLUSTER )  
SYSTEMS, INC., )  
Plaintiff, ) C.A. No. 19-2032-MN-CJB  
v. )  
NVIDIA CORPORATION, )  
Defendant. )

Tuesday, October 11, 2022  
2:00 p.m.

844 King Street  
Wilmington, Delaware

BEFORE: THE HONORABLE CHRISTOPHER J. BURKE  
United States District Court Judge

APPEARANCES:

SHAW KELLER, LLP  
BY: NATHAN R. HOESCHEN, ESQ.

-and-

KNOBBE MARTENS  
BY: CHERYL BURGESS, ESQ.

Counsel for the Plaintiff

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THE COURT: Good afternoon,

everyone. It's Judge Burke here. Why don't we go on the record and let me just say for the record that we're here this afternoon in the matter of Advanced Cluster Systems, Inc., versus Nvidia Corporation, et al. This is civil action number 19-2032-MN-CJB here in our court and we're here today with regard to a discovery dispute that's been raised by the defendants and by third-party Knobbe, Martens, Olsen & Bear, LLP for today. Before we go further, let's have counsel for each side identify themselves for the record. We'll start first with counsel for the plaintiff, who I believe is also going to be counsel for our third party and we'll begin there with Delaware counsel.

MR. HOESCHEN: Good morning, Your

Honor. Nate Hoeschen here from Shaw Keller on behalf of plaintiffs and third party contact Knobbe Martens. With me on the line is Cheryl Burgess from Knobbe Martens.

THE COURT: All right. Good to be

with you all again. And we'll do the same for counsel for defendant's side, again beginning

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APPEARANCES CONTINUED:

DLA PIPER

BY: STEPHANIE O'BYRNE, ESQ.

BY: CARRIE WILLIAMSON, ESQ.

BY: PETER NELSON, ESQ.

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with Delaware counsel.

MS. O'BYRNE: Good afternoon, Your

Honor. Stephanie O'Byrne with DLA Piper for Nvidia. I'm joined by my co-counsel, Carrie Williamson and Peter Nelson from DLA Piper. Also on the line is Sara Moore who is senior litigation counsel for Nvidia. With Your Honor's permission, Mr. Nelson will argue the remaining pieces of today's motion.

THE COURT: Okay. Thank you,

counsel. And as was noted, parties raised a number of different disputes with regard to proposed rule 30(b)(6) deposition topics that have been put forward by defendants for Knobbe to testify about. And the Court resolved a couple of those disputes prior to our call today, but a number of the topics with regard to Knobbe still are at issue, so we'll take up those kind of in order. And I think the topics that are still at issue are topics 2, 3, 8A, 9, 10 and 13, I think. So we'll touch base on those and get the parties involved and I'll try to make a decision.

Let me -- I'll turn first to

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1 Knobbe's counsel and Ms. Burgess are you going  
2 to be taking this for your side?  
3 MS. BURGESS: Yes, Your Honor.  
4 THE COURT: Okay. And I think the  
5 way the parties kind of argued about the topics  
6 they kind of put together topics 2, 9, 10 and  
7 13, because there was some arguments about those  
8 that were kind of similar, although each of the  
9 topics are a little different. Maybe we can  
10 kind of start with that group and deal with  
11 them. And I guess maybe to start, I know  
12 through your letter a theme is, look, you know,  
13 okay, these topics, maybe some are relevant,  
14 maybe we think some are overbroad, but beyond  
15 that, they're seeking this information from a  
16 law firm, you know, and that's kind of like  
17 that's a little bit beyond the pale. And I  
18 gather too, you're saying that because it's not  
19 disputed that two attorneys from Knobbe who I'm  
20 assuming did a fair amount of prosecution  
21 related work on the U.S. family, including the  
22 asserted patents, are going to testify. But I  
23 guess just as to the threshold issue of is it  
24 okay for a defendant to seek information that is

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1 THE COURT: Okay. Fair enough.  
2 Then let's go into the topics. And I guess  
3 maybe actually just stepping back once more, Ms.  
4 Burgess, the other subpoenas were to the two  
5 individual attorneys who I guess are Mr. Smemoe  
6 and Mr. Cannon. From what I can read from the  
7 briefs, it's hard to tell for sure, but I'm just  
8 guessing like maybe those two folks did a good  
9 amount of the prosecution work, but defendants  
10 are saying that like a bunch of other lawyers at  
11 Knobbe also did prosecution related work on  
12 these patents and the defendants are suggesting  
13 so this would be easier to just do a 30(b)(6)  
14 depo of the firm to try to capture the rest of  
15 the lawyers' knowledge instead of having to  
16 depose everybody. Is that what's going on here  
17 is that the two lawyers are going to testify  
18 individually, they did a lot of the work, but  
19 other lawyers did some too?

20 MS. BURGESS: Yes, Your Honor. So  
21 you're correct. It's two attorneys that they've  
22 individually noticed are individuals who did  
23 the, you know, the bulk of the prosecution work.  
24 And to clarify, there's one of the topics

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1 in some way related to say the asserted patent  
2 in the case from the firm that prosecuted that  
3 patent and/or others that are related? How come  
4 it's not potentially okay? I mean, my guess is  
5 probably, is it unusual outside the inequitable  
6 conduct context to be looking to the prosecution  
7 firm for relevant evidence, but if lawyers there  
8 have relevant evidence, isn't it okay to seek it  
9 from a firm?

10 MS. BURGESS: Your Honor, in the  
11 context of the topics that they've actually  
12 served, it's not -- it's not just in the  
13 abstract can you get relevant information from a  
14 firm. I don't know that there's any law that  
15 says no, you cannot in any event get relevant  
16 information from a prosecuting law firm. But I  
17 think you have to look at the topics as written  
18 and consider whether they're relevant and  
19 proportional under rule 26(b)(1) and whether  
20 they're reasonably particular under rule  
21 30(b)(6). And so Knobbe's issue with the topics  
22 that are still in dispute are the relevant  
23 proportionality and particularity with which the  
24 topics have been drafted.

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1 relates to what they call the MGT patent and so  
2 one of the attorneys was primarily in the  
3 prosecution of the MGT patent and then the other  
4 attorney was primarily involved in the  
5 prosecution of the patent in suit and some of  
6 the related family members. And I think it's  
7 worth noting, though, that the topics as written  
8 are not limited to Knobbe attorneys involved in  
9 the prosecution of the patent in suit or even  
10 the related patents. You know, to an extent  
11 that there's any boundaries on what is a related  
12 patent, I think Nvidia's definition of related  
13 is really broad and broad in a lot of irrelevant  
14 patents. But even assuming that you can  
15 understand what the boundaries were around the  
16 relevant patents, it's not limited to attorneys  
17 who are involved in prosecution of those  
18 particular patents.

19 THE COURT: Understood. But  
20 unless, Ms. Burgess -- we'll hear from the  
21 defendant's side in a little bit and they'll be  
22 able to jump in then and tell me if anything I'm  
23 about to say is wrong. But here's what I  
24 understood them to be suggesting or hinting in

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1 their letter, which is, sure, we said related  
 2 patents, but actually we're happy to narrow and  
 3 all we're talking about here, what we're calling  
 4 the U.S. family, which is basically the asserted  
 5 patent, the three other patents that were  
 6 previously asserted and then three listed patent  
 7 applications, so we're talking about 7 patents  
 8 and/or applications. And I think on the other  
 9 point you raised, I think they were saying  
 10 something like, no, of course, no, we're not  
 11 talking about having to prepare a witness to  
 12 testify about all 300 lawyers' knowledge about  
 13 subject X. We were just really talking about  
 14 the other grouping of Knobbe lawyers who are not  
 15 Mr. Smemoe and Mr. Cannon, like their collective  
 16 knowledge. If they were meaning to frame the  
 17 patents that way and, you know, kind of who  
 18 counts in terms of knowledge that way, would  
 19 that be a little better for you?

20 MS. BURGESS: Your Honor, that  
 21 would certainly help. That's not something that  
 22 has ever been expressed directly to us, that  
 23 they would be willing to narrow in that manner,  
 24 but it doesn't get a hundred percent of the way

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1 there and I just wanted to give a couple  
 2 examples of why the topics are still  
 3 problematic, even if you can identify a smaller  
 4 subset of patents, but the topics themselves are  
 5 still overbroad. For example, topic 9 still  
 6 talks about prior art known to you related to  
 7 the asserted patent and related patents. So  
 8 known to you means known to Knobbe and we're  
 9 talking about things related to those patents.  
 10 And the definition of related is extremely broad  
 11 and would include anything that references or  
 12 has any relevance to. So for example, for topic  
 13 9, that would mean any prior art known to any of  
 14 the attorneys at Knobbe Martens related to the  
 15 asserted patents and related patents, which  
 16 could mean investigating every patent out there  
 17 that makes reference to or includes within an  
 18 IDF any of the patents and then determining  
 19 whether any of the 300 attorneys at Knobbe  
 20 Martens has knowledge of those and the dates  
 21 that they became knowledgeable.

22 THE COURT: Right. And again, Ms.  
 23 Burgess, for our hypo, let's assume that they're  
 24 going to say, when I talk to defendant's side,

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1 we're not talking about 300 attorneys at Knobbe.  
 2 We really mean, like as far as we understand,  
 3 there were seven other Knobbe lawyers who worked  
 4 on prosecution, we're talking about them. I'm  
 5 just making seven up, but whatever the number  
 6 is, like the people beyond Mr. Smemoe and Cannon  
 7 that worked on prosecution. Assume it's that,  
 8 not the 300. And assume it's not the broad  
 9 definition of related patents in the subpoenas.  
 10 Assume it's just the U.S. family as is described  
 11 on page 2 of defendant's letter. Even still,  
 12 though, what you're saying is, look, so take  
 13 like, you know, any piece of prior art in some  
 14 way related, I don't know, one of the  
 15 provisional applications or one of the now  
 16 non-asserted patents that are at issue. That  
 17 could be anything and, you know, I think you're  
 18 saying absent a much clearer articulation of how  
 19 it is that certain prior art is relevant to the  
 20 asserted patent that's at issue in the case,  
 21 that's just too broad. Is that a fair kind of  
 22 summary of what you're saying as to topic 9?

23 MS. BURGESS: Yes, Your Honor.

24 That's a fair characterization for topic 9 and I

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1 could go through some other examples, but I  
 2 think that's a good example of why the topics  
 3 are still overbroad, even if you redefine you to  
 4 refer to the Knobbe attorneys involved in  
 5 prosecution of the asserted patents and direct  
 6 families. So even if we rewrote you to include  
 7 just those individuals, I think the topic's  
 8 still overbroad.

9 THE COURT: And I gather with  
 10 regard to topic 2, you would say the same about  
 11 the word prosecution of, pretty broad?

12 MS. BURGESS: Yes, Your Honor. We  
 13 think prosecution of is very broad and doesn't  
 14 state with reasonable particularity what about  
 15 the prosecution is relevant to any claim or  
 16 defense in the case and what in particular are  
 17 the topics that Nvidia wants us to investigate  
 18 and prepare a witness on.

19 THE COURT: And then, you know,  
 20 when defendants had to explain why are these  
 21 other, you know, why are the other patents at  
 22 issue here or patent applications at issue other  
 23 than the asserted patent relevant, they try to  
 24 do so on pages 1 and 2 of their initial letter

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1 and they pointed to a couple of things. Now,  
2 one thing they pointed to was the fact that, in  
3 your willfulness allegations against them you  
4 cite to certain of the provisional applications  
5 by way of explanation of how they might have had  
6 knowledge of the asserted patent. I'm not sure  
7 I understand how your allegations about the  
8 defendant's willfulness makes testimony of  
9 Knobbe attorneys who were prosecuting the  
10 patents for the plaintiff particularly relevant  
11 here, but otherwise they did reference a dispute  
12 about at least the filing date of the asserted  
13 patent and they noted that you have, as evidence  
14 about the filing date, cited to certain of the  
15 other U.S. family members. So like, so that was  
16 one piece and then the other thing they noted  
17 was that in your supplemental initial  
18 disclosures you made reference to other of the  
19 U.S. family patents. I don't know if you did  
20 that at a point in which those patents were  
21 actually still asserted in the case, but how  
22 about at least with regard to the filing date,  
23 what's the right filing date here? Haven't they  
24 shown how at least some testimony about some of

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1 the U.S. family members other than the asserted  
2 patent could be relevant to that issue?

3 MS. BURGESS: Well, Your Honor, it  
4 is not clear to me and Nvidia hasn't explained  
5 how claiming priority to an earlier family  
6 member makes the prosecution of that earlier  
7 family member relevant. I don't see the  
8 connection there. I don't think Nvidia's brief  
9 does that, but they are correct in that the  
10 asserted patent is a later filed continuation.  
11 Actually it's a continuation of I believe a  
12 continuation in part of the earlier filed  
13 utility application. But the prosecution of  
14 those earlier applications in the chain of  
15 family members is not relevant to whether the  
16 later filed patent is entitled to priority. The  
17 legal analysis for priority -- I guess I'm not  
18 clear what in the prosecution would be relevant  
19 to that legal analysis of priority and what  
20 priority --

21 THE COURT: I take your point  
22 there is about again back to the use of the  
23 words prosecution of, again, and the breadth of  
24 those words in the topic. I'm just trying to

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1 figure out like can it be said that any of these  
2 other U.S. family members, including these  
3 provisional applications could be relevant at  
4 all, you know, to the claims and defenses at  
5 issue with regard to the asserted patent? And I  
6 guess I was thinking to myself, well, I don't  
7 know, maybe like if instead of saying the  
8 prosecution of the asserted patent and related  
9 patents they had said like, information about  
10 facts relating to the priority date of the  
11 asserted patent vis-a-vis the other U.S. family  
12 members, think there might be certain factual  
13 information, you know, like, I don't know, like  
14 similarities and differences between what's  
15 described in those provisional applications and  
16 what's described in the asserted patent, it  
17 could be relevant to at least an issue in the  
18 case. Is that potentially fair?

19 MS. BURGESS: Your Honor, that's  
20 fair. Again, I don't think we can categorically  
21 say that there's not going to be some more  
22 particularized topics that could have some  
23 relevance to an issue in the case. The problem  
24 is we don't know what that specific topic is

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1 and, you know, I think the burden is on Nvidia  
2 to serve requests that are reasonably  
3 particular, that are understandable, where we  
4 can understand what these actual matters are for  
5 examination, so we can prepare somebody. And it  
6 seems like that policy to let them serve this  
7 overbroad, vague, you know, kind of like throw  
8 the spaghetti at the wall and see what sticks  
9 and then let them resort to the court trimming  
10 it back to something that everybody can  
11 ultimately agree has some level of relevance.

12 THE COURT: Got it. And just to  
13 finish out these four topics that were kind of  
14 grouped together in the briefing, topic 10 has  
15 to do with Knobbe's policies relating to patent  
16 prosecution. Sitting here I can't figure out  
17 how that's relevant to the asserted claims or  
18 defenses at issue in the case related to the  
19 asserted patent. I'm assuming you cannot as  
20 well. Topic 13 has to do with assessments of  
21 the value of dot, dot, dot. It does say the  
22 value, strength and benefit of the asserted  
23 patent. I could see like maybe if Knobbe  
24 attorneys did have information about facts

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1 relating to the asserted patent and its asserted  
2 value, that could be relevant to damages it  
3 seems like. I don't know if you'd be able to  
4 get that info through privilege objections, but  
5 at least as to the asserted patent I could see  
6 how topic 13 might be relevant to the claims or  
7 defenses at issue in this case relating to the  
8 asserted patent. Do you disagree there?  
9 MS. BURGESS: No, Your Honor.  
10 Again, I think we can come up with some more  
11 refined examples that could fall within the  
12 broad umbrella of this topic for something that  
13 could potentially be relevant. Again, I think,  
14 you know, it could have to be significantly  
15 paler. For example, the reference to you refers  
16 to every Knobbe attorney and so analyzing  
17 whether any Knobbe attorney has some kind of,  
18 you know, has made any assessment of the value,  
19 strength or benefit of any related patents,  
20 right? I think limiting to the asserted patent  
21 might be helpful. When you're talking about  
22 related patents, again, that's fairly broad so  
23 where's the line for related patents and how do  
24 you determine what attorneys of the 300

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1 attorneys assigned at the firm have knowledge of  
2 related patents or have done assessments of the  
3 value, strength and benefits of those patents.  
4 THE COURT: Ms. Burgess, anything  
5 more you want to say about these four topics 2,  
6 9, 10 and 13, knowing we'll come back to the  
7 others that we haven't discussed.

8 MS. BURGESS: So I think I just  
9 wanted to touch on, you know, a couple of the  
10 relevance arguments made in the sur reply that I  
11 don't think we have talked about yet in terms of  
12 Nvidia has indicated that have an inequitable  
13 conduct defense and I think ACS would dispute  
14 that. It looks like Nvidia has tried to  
15 shoehorn inequitable conduct somehow into their  
16 interrogatory response related to unclean hands.  
17 We're not in agreement that this is properly  
18 pleaded. Let's set that aside for now. That's  
19 not the issue before us.

20 THE COURT: Ms. Burgess, is there  
21 a -- I'm assuming there is not currently in the  
22 case an answer in which the defendants actually  
23 plead facts about the defense of inequitable  
24 conduct. I took that as a given from your

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1 letters, but is that correct?

2 MS. BURGESS: That is correct,  
3 Your Honor.

4 THE COURT: Okay. Go ahead.  
5 Please continue.

6 MS. BURGESS: So even assuming  
7 that it was somehow pled as a defense, we don't  
8 think it is, but let's just set that aside,  
9 there's no explanation as to how the topics that  
10 are at issue now are relevant even if you take  
11 those defenses as expressed in this unclean  
12 hands interrogatory response. The interrogatory  
13 response identifies certain acts or failures by  
14 one of the named inventors to disclose certain  
15 prior art. That doesn't have anything relating  
16 to the actions or failures by the prosecuting  
17 attorneys or Knobbe Martens generally, so  
18 there's nothing tying their topics to the  
19 defense as expressed in their interrogatory  
20 response.

21 And then the same thing goes with  
22 respect to inventorship. That's another defense  
23 that they've claimed somehow makes these topics  
24 relevant. But again, there's the inventorship

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1 issue has to do with, you know, of course the  
2 inventorship on the asserted patent, but what  
3 they're seeking is inventorship or they're  
4 seeking to explore the prosecution of the MGT  
5 patents. This is a different patent family that  
6 may share some inventors, but they don't ever  
7 explain how the inventorship of the asserted  
8 patent relates to prosecution of this, the other  
9 unrelated patents, how the prosecution of these  
10 unrelated patents somehow relates to their  
11 inventorship defense and why inventorship of the  
12 asserted patent is allegedly incorrect.

13 THE COURT: Okay.

14 MS. BURGESS: So I wanted to touch  
15 on those two topics that we had not yet  
16 discussed.

17 THE COURT: All right. Thank you,  
18 Ms. Burgess. Let me turn to defendant's side  
19 and I think -- is it Mr. Nelson who is going to  
20 be taking these for defendant's side?

21 MR. NELSON: Yes your honor.

22 THE COURT: Okay. Mr. Nelson,  
23 maybe you could start -- obviously I think the  
24 plaintiff and to some extent me have had

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1 questions about the facial breadth of the topics  
2 at issue, everything from what patents are they  
3 meant to cover, is it really meant to cover the  
4 combined knowledge of 300 attorneys at Knobbe  
5 Martens, some broad sounding words like prior  
6 art and prosecution, et cetera, et cetera. It  
7 might make sense for you to start, as it relates  
8 to these four topics, to do whatever you want to  
9 do or were prepared to do to say no, look, yes,  
10 facially the subpoena as issued may have covered  
11 X, but I'm here today to tell you that, Judge,  
12 what we're really focused on is more narrow and  
13 that relates to A, B, C and D. Is there  
14 anything you want to say to start things off in  
15 that regard?

16 MR. NELSON: Sure thing. Your  
17 Honor, to start, we made it very clear to Knobbe  
18 from the start that while their concerns related  
19 to the words related patents may be justified in  
20 the abstract, we've explained specifically, I  
21 could point you to the e-mail correspondence,  
22 which you may have reviewed, we expressly  
23 stated, as I believe you commented earlier, we  
24 are seeking information related to the asserted

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1 patent and all of its parents and the  
2 provisional applications beforehand. We are  
3 also seeking information related to the patents  
4 filed on a piece of technology that was the  
5 precursor to the supposed technology related to  
6 the asserted patent. And that is covered -- we  
7 specifically stated exactly what patents were  
8 covered in that. So the concern related to the  
9 so called breadth of the word related patent is  
10 frankly not justified. And the -- the  
11 continuing to harp on that issue is a bit  
12 disingenuous given that we have expressly stated  
13 what patents fall within the definition of  
14 related patents.

15 THE COURT: So Mr. Nelson, just to  
16 summarize, you're really focused on the 7  
17 patents and/or patent applications that you have  
18 collectively defined as U.S. family on pages 1  
19 and 2 of your letter which includes the asserted  
20 patents, three other patents that were formerly  
21 asserted and then three provisional patent  
22 applications, am I right?

23 MR. NELSON: Yes, Your Honor. And  
24 if it would help, we expressly stated that in

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1 exhibit 5 to Knobbe's opening letter. I can  
2 point you to that page if it would help.

3 THE COURT: Okay. What about, you  
4 know, the 30(b)(6) depo of the entire firm?  
5 Plaintiff's -- Knobbe's counsel keeps saying got  
6 to prepare for the collective knowledge of 300  
7 people. Is that right or do you have something  
8 else in mind?

9 MR. NELSON: Your Honor, I  
10 couldn't even sarcastically ask them to do that.  
11 And that's something we explained during the  
12 meet and confer. At least I thought we did.  
13 Again, this seems like an issue that is being  
14 brought up mostly to kind of blow the proportion  
15 of this out of scope to try and -- maybe to  
16 shine a better light for Knobbe on this issue.  
17 That was not something that we stated. We  
18 stated that the main reason we asked for the  
19 firm was, as Your Honor pointed out, the two  
20 attorneys we subpoenaed individually as  
21 30(b)(1), those were the two that signed off on  
22 the prosecution. That does not necessarily mean  
23 that they did all the work and frankly it's  
24 unlikely they did all the work. There are some

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1 other attorneys that were involved and so our  
2 request is that those -- we're presuming that  
3 those two attorneys are going to be the ones who  
4 are going to be designated 30(b)(6) and we ask  
5 that they prepare -- they basically just go  
6 through the files of those patent applications,  
7 the families and be prepared to testify about  
8 that, because it's -- I suspect that the  
9 internal files they have are going to be for  
10 those families and it's going to be some of the  
11 information collected by those signing attorneys  
12 and anybody who worked with them. So we're  
13 certainly not asking for 300 attorneys,  
14 collective knowledge of 300 attorneys. That  
15 would be insane.

16 THE COURT: Any idea how many  
17 Knobbe attorneys other than the two who are  
18 going to be individually deposed in this case  
19 worked on the prosecution of these seven patents  
20 or patent applications?

21 MR. NELSON: That has not been  
22 told to us, Your Honor.

23 THE COURT: Okay. But whatever  
24 the number is, is what you're thinking of?

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1 MR. NELSON: Yeah. Again,  
2 whenever this issue was brought up, the response  
3 was immediately that this request involves 300  
4 attorneys and that's unreasonable. We were  
5 never told how many attorneys would actually  
6 need to be talked to or how many attorneys  
7 potentially would be involved with this, but  
8 it's certainly not 300.

9 THE COURT: Okay. From there,  
10 we're looking at the particular topics that  
11 we've been discussing, 2, 9, 10 and 13. Maybe  
12 we can start with 2. As I was suggesting, I  
13 could maybe see how you've demonstrated that,  
14 via your letter, some factual information about  
15 the '908 provisional and/or maybe the '573  
16 provisional could be relevant to the issue at  
17 play in this case of what's the appropriate  
18 filing date that the asserted patent is entitled  
19 to. If that was one issue I could say, okay,  
20 the asserted patent is the patent that's left in  
21 this case, the defendants have, I think,  
22 explained to me why if you had information about  
23 facts relating to these provisional  
24 applications, that could be relevant to an issue

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1 at play in the case regarding the filing date.  
2 But beyond that, though, you're asking for just  
3 all the information about the prosecution of  
4 these seven patents or applications. What  
5 beyond, you know, the right filing date for the  
6 asserted patent have you demonstrated is  
7 relevant with regard to these other patents and  
8 patent applications regarding this case?

9 MR. NELSON: Of course. So Your  
10 Honor, to begin with, the prosecution history of  
11 the asserted patent technically consists of the  
12 prosecution history of the '768 Patent and all  
13 of its parents. By definition it does consist  
14 of all of its parents and parent applications.  
15 So at the end of the day, even if we either  
16 technically just say the '768 Patent, the  
17 asserted patent, the prosecution history of that  
18 '768 Patent technically encompasses all of the  
19 parent applications including these provisional  
20 applications.

21 Secondly, Your Honor, this is  
22 something we explained on the meet and confer.  
23 There were a lot of examiner interviews,  
24 throughout the history of the examination of all

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1 the parents and the asserted patent. There were  
2 other potential issues related to the responses  
3 and what the prosecution attorney said in  
4 certain responses that would have implications  
5 on the construction of the '768 Patent, as I  
6 said, because the asserted patent prosecution  
7 history consists of both the direct prosecution  
8 history for the '768 Patent and all of its  
9 parents' applications.

10 THE COURT: I mean, I guess maybe  
11 what I'm asking, though, is, you know, like in  
12 this litigation there's one asserted patent and  
13 that's the '768, am I right?

14 MR. NELSON: There is one asserted  
15 patent, to which -- through which -- which is  
16 claiming priority to numerous other patents  
17 which were previously asserted but are no longer  
18 asserted in this litigation.

19 THE COURT: And I guess I'm just  
20 trying to, you know -- if the plaintiff says or  
21 Knobbe says, look, is every single fact about  
22 the prosecution of U.S. provisional patent  
23 application numbers dot, dot, dot, ending in  
24 '738 relevant to what's going on in this

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1 litigation about the '768 patent? We say no, it  
2 can't be, it's just got to be too broad. And if  
3 the defendant responds and says, you know, no,  
4 we can ask any question about any fact that has  
5 anything to do with the prosecution of  
6 provisional application number '738 or U.S.  
7 patent number '289 or the provisional ending in  
8 '573, yeah, any single fact that we want to ask  
9 about about any one of those six other patents  
10 or patent applications is automatically relevant  
11 to what's going on in this case which is about  
12 the '768. Is that your position?

13 MR. NELSON: Not necessarily, Your  
14 Honor. We believe that the facts -- so from our  
15 perspective, we are concerned about two things.  
16 One, the prosecution history is long. So if one  
17 of those 30(b)(6) witnesses would get up again,  
18 there's a good chance that they're not going to  
19 remember what happened for the provisional or  
20 for the first patent application that was filed.  
21 What we're asking for is for them to go back and  
22 go through what we're assuming is the file for  
23 that prosecution. We're not asking them to go  
24 out to the firm and find everything for every

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1 other attorney. We're asking them to go through  
 2 the files associated with that family, with the  
 3 prosecution of that family and be prepared to  
 4 testify about that, because there is a concern  
 5 that they would either not remember or --  
 6 especially considering that the prosecution  
 7 attorneys are partners of the law firm that have  
 8 contingency interest in this case, they will  
 9 not -- they will not be willing to speculate if  
 10 they're not sure of something and we just want  
 11 to make sure that okay, go back and review the  
 12 history so that you're not speculating, you  
 13 know, everything, you can answer the question.

14 THE COURT: But there must be  
 15 aspects of the prosecution of these other  
 16 patents or applications -- you know, in other  
 17 words, if the plaintiff was asserting or Knobbe  
 18 was asserting, and if I was inclined to agree,  
 19 yeah, the way it's written is just too broad,  
 20 the burden it would place on a firm, even if  
 21 we're not talking about the whole firm, just a  
 22 number of other attorneys to be prepared for  
 23 every fact that in any way was relevant to the  
 24 prosecution of each of these six other

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1 applications or patents, if I was concerned that  
 2 was too broad, I'm sure it must be that in your  
 3 minds the defendants have some topics that they  
 4 think they're particularly interested in asking  
 5 about and there's probably -- there's got to be  
 6 some happy medium where you don't have to give  
 7 away everything about the nature of the  
 8 questions you're going to ask. And then on the  
 9 other hand, you probably could, I'm guessing,  
 10 narrow things down a bit by saying, look, you  
 11 should be prepared on these aspects of the  
 12 prosecution of these six other patents or  
 13 applications. Couldn't defendants do that? I'm  
 14 assuming you could, right?

15 MR. NELSON: Yeah, Your Honor. If  
 16 we need to narrow it, I think we would be -- and  
 17 I thought we made this clear, that we're talking  
 18 about the prosecution history. So if we were to  
 19 narrow it, a fair narrowing is typically  
 20 everything related to the prosecution history.  
 21 In other words, the things that were filed, the  
 22 things that were received, the examiner  
 23 interviews and I think some of the other issues  
 24 were, again, ignoring the 300 attorney aspect,

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1 the prior art known, related to the asserted  
 2 patents as in topic number 9, we're not -- the  
 3 general idea with this one, I think again, I  
 4 thought we made this clear during the meet and  
 5 confer, was we're mainly interested in the  
 6 specific pieces of prior art that we have been  
 7 discussing in this litigation. And then that  
 8 was kind of the reason why we mentioned some of  
 9 those in separate topics.

10 THE COURT: Do you have those  
 11 pieces of prior art in your mind? Like, are we  
 12 talking about seven pieces of prior art or 27 or  
 13 100. Like, what more can you tell me, when you  
 14 say the piece of prior art we've been talking  
 15 about in this litigation, how broad is that?

16 MR. NELSON: We're talking about  
 17 the pieces of prior art that were mentioned in  
 18 our final invalidity contentions. I mean, I'm  
 19 trying to see if -- I mean, for example, Your  
 20 Honor --

21 THE COURT: I'm not trying to put  
 22 you on the spot for an exact number. General  
 23 sense, are we talking about, you know, talking  
 24 about a lot or a little or something in between?

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1 MR. NELSON: We're not talking  
 2 about many. I think we're talking about 10 to  
 3 15 specific pieces of prior art generally. I  
 4 mean, some of those pieces of prior art are, I  
 5 mean, are large, but at the end of the day,  
 6 we're not talking about a massive number of  
 7 things. We're talking about, again, going  
 8 through the file history or the internal Knobbe  
 9 file history, going through them and saying,  
 10 okay, we -- were we aware of this, was this  
 11 disclosed, why was this not disclosed, do we  
 12 believe it was relevant, if we didn't believe it  
 13 was relevant, why didn't we. That kind of  
 14 stuff.

15 THE COURT: With regard to topic  
 16 10, what does Knobbe prosecution policies or  
 17 practices have to do with claims and defenses at  
 18 issue in this case as to the asserted patent?

19 MR. NELSON: Well, for one it has  
 20 to do with -- kind of going back to the  
 21 disclosure aspect of what was known and/or --  
 22 what was known, disclosed and/or not disclosed.  
 23 It has to do with the -- I think you could give  
 24 a broad aspect. If we were to ask them, did you

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1 tell -- if we were to ask a prosecuting  
2 attorney, did you tell either of the inventors  
3 X, Y or Z, they would have -- they would have a  
4 perfectly valid privilege objection. However,  
5 if we were to ask them about what their general  
6 practices were regarding what they generally  
7 told clients, that would not be subject to a  
8 privilege objection. So it has to do with the  
9 kinds of things that were submitted to the  
10 patent office, how things were approached, how  
11 clients were generally handled. Those were the  
12 kinds of things that were in mind. Those relate  
13 to mostly to the invalidity contentions and the  
14 potential inequitable conduct that was brought  
15 up earlier.

16 THE COURT: And inequitable  
17 conduct, is that pleaded?

18 MR. NELSON: It has not been  
19 asserted as a defense in an answer, but it has  
20 been fully disclosed.

21 THE COURT: Okay. I think  
22 unless -- just to tell you, I can't think of a  
23 scenario, unless there's something you think I'm  
24 missing, where -- if an inequitable conduct

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1 defense wasn't pleaded in an operative pleading,  
2 it would be in the case in a way that you could  
3 tell another party to provide discovery about  
4 it. I mean, we have whole satellite litigations  
5 about whether allegations of inequitable conduct  
6 are successfully pleaded in pleadings. I mean,  
7 are you asserting by way of this discovery  
8 dispute motion that you should be able to get  
9 discovery to inequitable conduct even though  
10 it's a currently unpleaded defense?

11 MR. NELSON: Well, at the end of  
12 the day my understanding was -- to answer your  
13 question generally, yes, Your Honor. Maybe not  
14 necessarily --

15 THE COURT: I mean, I say defense.  
16 I mean really it's a claim. Right? You're  
17 making a claim of inequitable conduct, a  
18 counterclaim, I suppose, right? Isn't that how  
19 it would come up here?

20 MR. NELSON: Potentially, yes.

21 THE COURT: You'd be asserting  
22 that inequitable conduct occurred for which  
23 you'd have a burden to prove certain elements,  
24 so yeah, it would have to show up as a

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1 counterclaim in your answer and counterclaims, I  
2 would think. But, okay. Well, putting it aside  
3 for now, though, maybe last question would be  
4 about topic 13. I gather that's asking for  
5 information that Knobbe might have about the  
6 value in part of at least the asserted patent  
7 and/or the other patents at issue. How is that  
8 topic relevant to the claims or defenses at  
9 issue in the case?

10 MR. NELSON: At the end of the  
11 day, Your Honor, this one has two issues. One,  
12 this was one of the ones where we said if  
13 Knobbe, if they agreed Knobbe was not going to  
14 testify about this, we would withdraw. They  
15 didn't agree. So at end of the day we have to  
16 assume that someone from Knobbe will testify  
17 regarding this. Second, Your Honor, this has to  
18 do with whether or not there were any internal  
19 thoughts regarding value and strength, what  
20 aspects of the claims made sense or did not make  
21 sense, what did they believe was previously  
22 known in the art. That's kind of where this is  
23 going.

24 THE COURT: Okay.  
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1 MR. NELSON: Again, this would  
2 also potentially relate to any communications  
3 with third parties.

4 THE COURT: So it's not meant to  
5 get to like are you aware of a document that  
6 says that, you know, the claims of the asserted  
7 patent are worth a million dollars. I could see  
8 if there was such a document that might be  
9 relevant to certain damages issues. That's not  
10 what you're getting at here?

11 MR. NELSON: If such a document  
12 existed and was given to a third party and  
13 discussed with a third party, that would be  
14 potentially relevant, but otherwise it's  
15 anything regarding the internal thoughts of the  
16 attorneys of the strength or weaknesses of the  
17 patent.

18 THE COURT: Okay. All right. Mr.  
19 Nelson, anything further about these four topics  
20 before we move on to the other two?

21 MR. NELSON: Just a quick note,  
22 Your Honor. I got the impression you were not  
23 terribly fond of the inequitable conduct related  
24 argument. My only request is should you decide

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1 to deny that or deny it in part, my only request  
2 is that it's without prejudice should we later  
3 decide to come back, should we plead and  
4 potentially bring such a claim because we would  
5 then need to depose the prosecuting attorney.

6 THE COURT: Sure. Yeah. I mean,  
7 nothing that I'll say today with regard to the  
8 discovery dispute is meant to preclude from, if  
9 I wish to, to add an inequitable conduct claim  
10 into the case by way of amendment to your  
11 pleadings if that's what you choose to do. I  
12 mean, you know, I have no idea. But my only --  
13 the only thing I was trying to get across is the  
14 way I'm thinking of it is I can't understand  
15 how, as a way of establishing why certain of  
16 these topics will provide relevant evidence to  
17 the claims or defenses at issue in the case, it  
18 could be claimed that the topics would do so  
19 because they provide evidence of inequitable  
20 conduct if that is a claim that the defendants  
21 are currently not making. And I was inviting  
22 you, if there's something I'm missing about that  
23 thought process, feel free to let me know, but  
24 otherwise that was my thought process. But

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1 nothing I'm saying here today is meant to  
2 preclude you from attempting to plead a  
3 counterclaim of inequitable conduct in the case  
4 at all. So I'm happy to put that on the record.

5 Okay. Ms. Burgess, let me turn  
6 back to you and I would like to speak briefly  
7 about the other two topics at issue, but is  
8 there anything you want to add by way of  
9 response to what you heard about the topics  
10 we've just been discussing.

11 MS. BURGESS: Yes, Your Honor. A  
12 couple quick points on those and thank you for  
13 letting me go back to address those. So there  
14 was some discussion with respect to I believe  
15 topic 2 on what Nvidia is truly seeking with  
16 respect to prosecution of the asserted patent  
17 and the related patents. And a couple points  
18 they raised was first, it sounds like they don't  
19 think the individuals who have been noticed  
20 would necessarily be prepared to address the  
21 file history if they don't review it for the  
22 deposition. And so the 30(b)(6) I guess is  
23 intended to ensure that those individuals do, in  
24 fact, go back and review and try to refresh

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1 their recollection on what happened. And my  
2 thought to that is, again, I think the  
3 prosecution of the asserted patent, the way it's  
4 worded is still overly broad, right? I mean, if  
5 all Nvidia wants is for the attorneys to go back  
6 through and read the file history, you know,  
7 that's one thing. But if they actually want  
8 them to be prepared to discuss the prosecution  
9 of the asserted patent, that would require more  
10 preparation than just going back and reviewing  
11 the file history. And I think we would need to  
12 know what particular topics those individuals  
13 need to be prepared on, because --

14 THE COURT: I'm sorry, Ms.  
15 Burgess. Do you know how many other Knobbe  
16 attorneys other than the two individuals who are  
17 going to be deposed were involved in prosecution  
18 of the U.S. family?

19 MS. BURGESS: Your Honor, I don't  
20 have the exact number of how many attorneys have  
21 billed to that matter, but I will say it's not  
22 going to be large. We're not approaching  
23 anywhere near the 300 attorneys. So the firm is  
24 going to be probably under 10 individuals that

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1 were substantively involved, but again, I can't  
2 tell you for sure because I haven't checked  
3 billing records and I apologize for that.

4 THE COURT: And when are Mr.  
5 Smemoe and Mr. Cannon's deposition scheduled or  
6 have they be scheduled?

7 MS. BURGESS: Your Honor, we  
8 haven't scheduled them. And we haven't  
9 scheduled them because we have already indicated  
10 to Nvidia that they would, in fact, be the  
11 30(b)(6) designees, one or more of them would be  
12 the 30(b)(6) designees depending on the topic,  
13 so we would like to just do it once and so  
14 Nvidia agreed -- we agreed that they could take  
15 those, you know, outside the time frame for fact  
16 discovery so that we could resolve the dispute  
17 on the 30(b)(6) topics before we have those  
18 depositions.

19 THE COURT: Okay. All right. Let  
20 me let you finish out with regard topics 2, 9,  
21 10 and 13.

22 MS. BURGESS: Okay. So with  
23 respect to topic 2, in addition to  
24 understanding, you know, kind of what particular

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1 aspects of the prosecution Nvidia wants the  
2 individuals involved in prosecuting to refresh  
3 on and be ready to address, Mr. Nelson also  
4 mentioned, you know, the prior art in the final  
5 invalidity contentions. And so not all of that  
6 prior art in those invalidity contentions is  
7 necessarily reflected in the prosecution of the  
8 patents, of the patent in suit or the family, so  
9 again, it's not clear. You know, it sounds like  
10 during this conversation he's expanded it beyond  
11 just the prosecution now to their knowledge  
12 about specific pieces of prior art that may or  
13 may not have been contemplated during  
14 prosecution. So I -- I wanted to note that on  
15 topic 2.

16 On topic 10, Mr. Nelson mentioned  
17 that they really want to understand, again, what  
18 was known and disclosed or not disclosed and  
19 kind of the general practice of the attorneys  
20 involved in prosecution. And again, I think the  
21 topic itself, topic 10, does go beyond that.  
22 Right? Topic 10 is all practices of all  
23 attorneys regarding prosecutions and that could  
24 involve policies or practices involving when to

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1 interview or whether they do an in-person or not  
2 in-person. There's a lot of policies or  
3 practices that individual attorneys may have and  
4 the topic again is not limited to what Mr.  
5 Nelson was stating during the argument as to  
6 what, what types of things Nvidia might want to  
7 ask about. And so again, in order to prepare  
8 any witnesses, we would need to know what  
9 practices and policies in particular for those  
10 attorneys involved in the prosecution of the  
11 asserted patent are at issue and the topic  
12 simply doesn't provide that level of  
13 specificity.

14 And then the last one I wanted to  
15 make with respect to topic 13, Nvidia has  
16 indicated that they have to assume that Knobbe  
17 is going to testify because Knobbe does not want  
18 to stipulate that they would not testify. And I  
19 think that's backwards. I think Nvidia has the  
20 burden of providing topics that are proportional  
21 to the needs of the case, that are relevant,  
22 that are proportional and that are stated with  
23 reasonable particularity. And if they haven't  
24 done so, you know, Knobbe does not have to

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1 respond to those topics and also Knobbe does not  
2 have to agree not to testify at trial. We have  
3 noted on the record that we don't intend to  
4 present testimony at trial, but there's a lot of  
5 hypotheticals as to what could happen at trial  
6 that we can't necessarily anticipate at this  
7 point and so we're very hesitant to stipulate to  
8 something when Nvidia, particularly during the  
9 negotiations on these topics, was reluctant to  
10 provide any level of specificity on the  
11 particular allegations and I think still is  
12 lacking some particularity in terms of what  
13 they're really looking for. So I think it's  
14 improper to have Knobbe stipulate, make some  
15 kind of broad stipulation on something they  
16 won't do in the context of a hypothetical trial  
17 when Nvidia has been kind of hiding the ball on  
18 what they think ultimately may happen at trial  
19 and what their allegations may actually be.  
20 Plans to testify at trial, I don't think that's  
21 a fair assumption. Because we won't stipulate  
22 that we won't. I just don't think that's an  
23 appropriate approach to the topic.

24 I think Nvidia should be required  
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1 to serve topics with reasonable particularity,  
2 that are proper topics that can be responded to  
3 and they haven't done that.

4 THE COURT: Okay. And let's just  
5 talk briefly about the other two topics which  
6 are topics 3 and topic 8A. With regard to topic  
7 3, I think I understand from the defendant's  
8 letter that's on the attachments why it is that  
9 MGT generally, the toolkit and the patents that  
10 describe it can be relevant and are relevant to  
11 the asserted patent and the origin of the  
12 inventions described therein. So my guess is on  
13 topic 3 it's just the word prosecution that --  
14 my guess is you're not going to say MGT as a  
15 topic area is necessarily irrelevant, it's just  
16 the breadth of the word prosecution. Is that  
17 what you're saying?

18 MS. BURGESS: Your Honor, that's  
19 correct. And so in the underlying litigation  
20 the plaintiff, ACS, has provided discovery  
21 regarding the MGT product or I guess product  
22 development, and you know, produced the patent,  
23 but the prosecution of those patents, we simply  
24 don't understand and I don't think Nvidia has

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1 really ever explained how the prosecution of  
 2 those patents relates to any issue in the case.  
 3 So Nvidia's justification is that there's a  
 4 statement by one of the named inventors that the  
 5 technology in the asserted patent is an  
 6 improvement to MGT, the MGT technology, but we  
 7 don't see how that justifies broad, undefined  
 8 discovery regarding the entirety of the  
 9 prosecution of the MGT patents. I mean, there  
 10 certainly are other technologies out there that  
 11 the claimed inventions may improve upon, but I  
 12 don't think that makes the prosecution of any  
 13 patents related to any technology that the  
 14 invention may improve upon relevant and  
 15 proportional to this case.

16 THE COURT: Okay. And then with  
 17 regard to 8A, it looks like that -- and your  
 18 objection, it looks like that in another  
 19 proceeding, the plaintiff did say look, here are  
 20 certain facts that we think are actually  
 21 relevant to objective indicia or secondary  
 22 consideration. Those facts we're putting out  
 23 there into the world, we think they're important  
 24 to that issue, they're known, so what if, if the

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1 request was focused on the facts that plaintiff  
 2 had previously noted that it itself believed  
 3 were relevant to secondary consideration,  
 4 wouldn't that be a way of avoiding the concern  
 5 you raise in your letters about you having to  
 6 guess about, you know, making legal conclusions  
 7 about secondary considerations of what might be  
 8 relevant?

9 MS. BURGESS: So Your Honor, I  
 10 don't disagree that some information regarding  
 11 the factual underpinning or secondary  
 12 considerations could be relevant, but if we're  
 13 talking about facts, number one, it's not clear  
 14 why these wouldn't be topics for the parties to  
 15 the litigation versus the attorneys representing  
 16 ACS. You know, Knobbe Martens as the attorneys  
 17 representing ACS of course submit factual  
 18 information and legal arguments on behalf of  
 19 clients, but it's not clear how Knobbe, why  
 20 Knobbe as a law firm would be the appropriate  
 21 party to provide testimony regarding those  
 22 secondary considerations. And it does appear to  
 23 require a level of legal analysis, even to  
 24 prepare somebody to respond, because it's

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1 talking about any secondary considerations of  
 2 the asserted patent and any related patents. So  
 3 we would have to then consider, okay, what other  
 4 possible secondary considerations information  
 5 would Knobbe have that is perhaps not in the IPR  
 6 information that we submitted and prepare  
 7 somebody on that.

8 THE COURT: Ms. Burgess --

9 MS. BURGESS: Because the topic is  
 10 not limited to just -- I'm sorry, go ahead.

11 THE COURT: Ms. Burgess, at least  
 12 in your experience, have you seen a patent case  
 13 where outside of the inequitable conduct context  
 14 a party has sought deposition testimony from a  
 15 law firm who is in some way kind of related to  
 16 the patents in suit? Like how rare do you think  
 17 this is?

18 MS. BURGESS: Your Honor, I have  
 19 not been involved in any cases -- and I've been  
 20 involved in several -- responding to various  
 21 subpoenas of Knobbe because Knobbe does a lot of  
 22 patent prosecution, and I can't recall ever  
 23 being involved in a subpoena where they wanted  
 24 to, to get testimony from Knobbe essentially

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1 regarding, regarding validity of the patents,  
 2 not just the prosecution of the patents. And  
 3 I've never had a case where as litigation  
 4 counsel Knobbe has been subpoenaed to provide  
 5 testimony in a case based on their role as  
 6 litigation counsel in that case. But I would  
 7 say it's rare from my personal experience. I'm  
 8 not saying it doesn't happen, but I'm not aware  
 9 of it happening ever or frequently.

10 THE COURT: Right. I mean, again,  
 11 to me it seems like is it theoretically possible  
 12 that an attorney for, you know, who prosecuted  
 13 certain patents might have relevant information  
 14 about say a fact relating to secondary  
 15 considerations? Seems like it's very possible.  
 16 Just don't know -- it does often seem like there  
 17 are other ways to get that info and I don't know  
 18 how often parties seek it through these means  
 19 and I guess that's what you're saying. All  
 20 right. Ms. Burgess, I want to try to conclude  
 21 so is there anything further on these two topics  
 22 before I turn back to Mr. Nelson?

23 MS. BURGESS: Yeah. So Your  
 24 Honor, your commentary did make me think of one

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1 thing. I have seen instances where during  
2 prosecution the prosecuting attorney has  
3 provided some secondary considerations type of  
4 information to the patent office to overcome a  
5 rejection. So, for example, I've seen, you know  
6 more statements by the invent -- sworn  
7 statements by the inventor to counsel in favor  
8 of permitting the claim. So I guess I can see a  
9 context where a prosecution attorney might have  
10 some information regarding secondary  
11 considerations of nonobviousness, but it doesn't  
12 sound like that's what Nvidia is looking for in  
13 this case. And so -- but I just wanted to  
14 amend, because I just thought of that.

15 THE COURT: Okay. Thank you. All  
16 right. Mr. Nelson, back to you. Just focusing  
17 on, again, to topic 3 and 8A, maybe starting  
18 with 3, is there more you can tell me about what  
19 in particular you're really likely to be focused  
20 on with these questions?

21 MR. NELSON: Yes, Your Honor. At  
22 the end of the day, the reason we are focused on  
23 this is to put it in perspective is, as you  
24 pointed out, the named inventor stated that this

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1 one specific piece of technology was a precursor  
2 to the technology claimed in the asserted  
3 patent. That's why we're so interested in this  
4 one particular piece of technology. And again,  
5 we're interested in -- there were numerous  
6 discussions had with the examiner during the  
7 prosecution. We're interested in several  
8 statements that were made in the patents and  
9 when they were added and whether or not they  
10 relate back to -- and when they relate back to  
11 some things. At the end of the day, there are  
12 several different aspects to the MGT patents  
13 that we're interested in.

14 THE COURT: Okay. And with regard  
15 to the secondary considerations, you have to try  
16 to convince me that, Judge, look, this is not an  
17 overly broad topic that requires Knobbe to draw  
18 some kind of legal conclusions about what might  
19 be relevant secondary considerations and what  
20 facts might possibly be asked about, but there's  
21 some more limited subset of information that you  
22 think the topic kind of more fairly calls for  
23 that they should be able to provide.

24 MR. NELSON: The things -- we're  
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1 interested in -- yeah, to put it in perspective,  
2 we know that the two attorneys who were the  
3 prosecuting attorneys were also kind of like  
4 outside counsel in terms of their work related  
5 to licensing and other sorts of activities. We  
6 are interested in kind of whether or not the  
7 claims were -- I mean, in addition to being  
8 improvements upon it, were they improvements  
9 upon any other technology or allegedly  
10 improvements upon any other technology? Was  
11 there any skepticism? Were there people who  
12 were not skeptical. It's hard to say. I think  
13 one -- one theme that's kind of been throughout  
14 this conversation today is -- I think one of the  
15 main themes that have been going on, kind of the  
16 fear that Knobbe will not be prepared for the  
17 deposition. I think that is kind of the  
18 overarching theme that we've been having today.  
19 And the theoretical theme has been that they  
20 will not be prepared and that we will then seek  
21 to compel an additional deposition. And I think  
22 that theme has kind of permeated the  
23 conversation to the point that the concern is we  
24 want to narrow everything down to the point that

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1 they can specifically prepare Knobbe witnesses  
2 to answer exact questions, in other words, give  
3 Knobbe the road map of things that Nvidia wants  
4 to ask and then have them prepare on those  
5 specific individual items so that there's no  
6 chance of us moving to compel an additional  
7 deposition. I think that's a little unfair,  
8 given that, again, we have some thoughts, but  
9 it's hard for us to be able to fully explain  
10 everything without knowing what they're going to  
11 say.

12 Also, just -- I also just want to  
13 make clear that we are not seeking information  
14 from litigation counsel. We are seeking  
15 information solely from prosecution counsel.  
16 That seems to be a divide that has not been as  
17 clearly articulated as I hoped it would be.

18 THE COURT: Okay. All right.  
19 Thank you, Mr. Nelson. Counsel, I think I have  
20 enough. We've been going for a little over an  
21 hour. So let me go ahead and just give you a  
22 decision and also try to give you some guidance  
23 more broadly on this issue. And so because I'm  
24 going to provide a decision here, the transcript

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1 of today's teleconference will serve as the  
2 substance of the Court's order.  
3 And that is that with the caveat  
4 that I'll give in just a second, I'm going  
5 to grant Knobbe's request for a protective order  
6 and to quash and deny the defendant's cross  
7 motion to compel the information contained in  
8 the rest of the disputed topics. Because, as  
9 they are currently written, I believe that the  
10 topics are overbroad and that they're breadth,  
11 in light of the burden that it would put on  
12 Knobbe to prepare witnesses to testify about  
13 them, would outweigh any prospect for obtaining  
14 relevant evidence that the topics might provide.  
15 And so I'm going to grant Knobbe's request and  
16 deny defendants.

17 That said, I believe for reasons  
18 I'll explain in just a moment, I think it's  
19 probably the case that were defendants to edit  
20 their rule 30(b)(6) subpoena and narrow it  
21 considerably, that they probably could make a  
22 case that some 30(b)(6) testimony with regard to  
23 a narrower group of topics could be both  
24 relevant to the claims and defenses at issue in

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1 the case and proportional.  
2 And so having said that, let me  
3 try to provide some additional guidance based on  
4 what I've heard from the parties. The first  
5 thing I'd say, as we noted in the letters, a  
6 theme of plaintiffs was that it's unusual for a  
7 party in defendant's shoes to be seeking the  
8 kind of information that's being sought in this  
9 subpoena and by the subpoena, by the way, I'm  
10 talking about the subpoena, rule 30(b)(6)  
11 subpoena found at exhibit 3 of plaintiff's  
12 initial letter, unusual for that type of  
13 information to be sought from a firm, a law firm  
14 that happened to be prosecution counsel in a  
15 case where as here, a claim of inequitable  
16 conduct, for example, is not currently pleaded  
17 and to me it seems unusual. I can't remember  
18 having dealt with it, especially so if two of  
19 the individual attorneys who spent the most time  
20 prosecuting the U.S. family at issue are going  
21 to testify anyway. It does seem unusual. I  
22 don't know that I've come across this kind of  
23 request. And I think it does create real  
24 burdens for Knobbe. There are some number of

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1 other lawyers, sounds like less than 10, but  
2 more than just a few who may have worked on  
3 prosecution here and there's efforts that have  
4 to be undertaken to make sure that the  
5 collective knowledge of all those people, even  
6 if the subpoena is narrowed to just those  
7 people, which I think it would be, it's fairly  
8 provided. And the broader that the topics are,  
9 the greater that burden. Even though it's  
10 unusual and as written would be burdensome, I  
11 can't say it's improper or impossible that rule  
12 30(b)(6) subpoena of the prosecuting firm in a  
13 case like this couldn't be appropriate if it was  
14 narrowly tailored to get to relevant evidence.  
15 It does strike me that prosecuting attorneys,  
16 other than the two that spent the most time on  
17 prosecution, could have knowledge of relevant  
18 facts relating to claims or defenses at issue in  
19 the case, particularly as to the asserted  
20 patent. And it's possible that, you know, the  
21 questions about those facts may not necessarily  
22 be, been withheld via privilege assertion. And  
23 so I think it's possible to reframe the  
24 subpoena. It's just that as it currently

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1 stands, I think it's an unusual subpoena that is  
2 too overbroad.  
3 In terms of the particular topics  
4 we discussed, just a few notes. Topic 2, I  
5 agree with plaintiff that reference to the  
6 prosecution writ large of these seven patents or  
7 patent applications seems overbroad. In  
8 discussion today it sounds as if the defendant's  
9 side is interested in particular aspects, quote  
10 unquote, of that prosecution history, perhaps  
11 including having the deponents be knowledgeable  
12 about the file history. There may be ways to  
13 narrow that topic that renders it  
14 non-objectionable.  
15 When we went to topic 9, which  
16 again, as plaintiff I think rightly notes, I say  
17 plaintiff, but I mean Knobbe rightly notes,  
18 calls for the witnesses to be prepared about all  
19 prior art in any way related to any of these  
20 seven patents or patent applications, that is  
21 very broad. In discussion here today it sounds  
22 like the defendant's side may be particularly  
23 focused on 10 to 15 pieces of prior art or  
24 asserted prior art that they think are relevant

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1 to the claims or defends at issue in the case.  
 2 That could be a different story.  
 3 Topic 10, I'm not sure how  
 4 Knobbe's practices or policies regarding  
 5 prosecution are relevant to what's in the case  
 6 right now. I'm not sure that I heard a great  
 7 explanation on today's call. It could be that  
 8 they are, but hard for me to say.

9 And with regard to topic 13, there  
 10 I don't think what I've heard today suggests  
 11 that topic is relevant. In fact, when I asked  
 12 about relevance, defendant's side simply said  
 13 well, we're asking about it simply because the  
 14 plaintiffs haven't told us they won't testify  
 15 about it. That's really not a good assertion as  
 16 to relevance.

17 With regard to topic 3, as I've  
 18 suggested on the call, from what I understand I  
 19 do think there are facts related to MGT and  
 20 probably related to the patents that incorporate  
 21 MGT and its technology. That could be relevant  
 22 to the inventions related to the asserted patent  
 23 and/or claims and -- claims or defenses at issue  
 24 in this case. I'm not sure that every fact

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1 relating to the prosecution of the MGT patents  
 2 writ large is still relevant. And again, I  
 3 think there may be subcategories of this  
 4 information that on our call today the defense  
 5 has said they're really after that might be a  
 6 way to really narrow this request that could  
 7 make the subpoena make sense.

8 Similarly as to secondly  
 9 considerations, I think again, it may be  
 10 possible that as to particular facts that have  
 11 been cited in the past by plaintiffs as being  
 12 assertedly relevant to that subject matter, if  
 13 the topic was narrowed to focus on those  
 14 particular facts, again, there may be a way to  
 15 frame this topic in a way that can survive  
 16 objection.

17 So it's all to say that for now  
 18 I'm granting Knobbe's motion and denying  
 19 plaintiff's. I'm sorry, denying defendant's  
 20 motion. I'm suggesting that defendants may want  
 21 to think about significantly narrowing the  
 22 topics at issue and providing a revised proposed  
 23 subpoena and I would really suggest that the  
 24 parties maybe do some meeting and conferring

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1 before that happens so that they can try to work  
 2 out a sufficiently narrowed set of topics that  
 3 could make sense here.  
 4 Lastly, I'll just say, I agree  
 5 with defendants that, you know, it's not right  
 6 to make one side give up an absolute detailed  
 7 road map of all the questions they're going to  
 8 ask during a rule 30(b)(6) deposition, but  
 9 there's also a happy medium between the breadth  
 10 of the subpoena here and something that is  
 11 actually appropriately tailored to get to  
 12 relevant information in a non-burdensome way.  
 13 And I think in order to hit that middle ground,  
 14 defendants are going to have to provide at least  
 15 some more specific information about the topics  
 16 they're interested in, even if it doesn't amount  
 17 to a detailed road map of all the questions  
 18 they're going to have.

19 With all that said, we've been  
 20 going for about an hour and 20 minutes and we  
 21 need to end our call. I think I've resolved the  
 22 motions for now, provided as much guidance as I  
 23 can to the parties about what will happen in the  
 24 future.

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1 The only other thing I would add  
 2 to the parties' benefit as the parties have  
 3 seen, I recently issued an order resolving a  
 4 number of disputes that have cropped up in the  
 5 case in the last couple of months. Going  
 6 forward if there are further disputes that can't  
 7 be resolved, I'll hear argument about those in  
 8 court. I do that because in cases like this  
 9 where there is now quite a large number of  
 10 disputes that have been presented, it does raise  
 11 concerns with the Court about whether the  
 12 parties really are sufficiently meeting and  
 13 conferring before bringing issues to the Court.  
 14 That said, I'll also tell you that I'm doing  
 15 that for your benefit as well, because in cases  
 16 in which Judge Noreika is involved and this one  
 17 in which I've worked on in the past, if the  
 18 parties don't do a better job of kind of  
 19 appropriately focusing on what issues you really  
 20 need to be brought and what don't, I have seen  
 21 instances where the parties continue to abuse  
 22 the discovery dispute process and that results  
 23 reducing the parties' trial time if they were to  
 24 put forward discovery disputes at that stage and

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1 lose. So I'm trying to kind of gently give you  
2 the hint that something is starting to seem a  
3 bit out of whack in terms of the sheer number of  
4 discovery disputes that I'm seeing and to try to  
5 help you make sure that on the one hand the  
6 Court can be here to resolve the disputes that  
7 really need resolving, but on the other hand  
8 that things don't get so out of control that  
9 ultimately it hurts your ability to present your  
10 case going forward. So do with that information  
11 what you will.

12 All right, counsel, with all that  
13 said, unless there's anything further and maybe  
14 I'll pause just to ask is there anything I need  
15 to clarify procedurally before we end our call  
16 today on plaintiff's side, Ms. Burgess?

17 MS. BURGESS: No, Your Honor.  
18 Thank you.

19 THE COURT: Okay. On defendant's  
20 side, Mr. Nelson?

21 MR. NELSON: Two quick points of  
22 clarification, if I could, Your Honor. Firstly,  
23 just -- I just want to ask, I understand you're  
24 saying you're basically saying please re-serve

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1 the subpoena with more specific topics. Is that  
2 kind of what you're basically ordering or you're  
3 suggesting? I just wanted to clarify that.

4 THE COURT: Sure. I mean, what  
5 I've ordered is that the subpoena has been  
6 quashed. A protective order has been issued.  
7 This subpoena that's in exhibit 3 before me,  
8 it's a nullity. It will not be utilized to  
9 obtain testimony. It is significantly  
10 overbroad. It should have never been issued in  
11 the way it was issued. And it has --  
12 unfortunately that overbreadth has created a lot  
13 of litigation that ultimately has involved the  
14 Court's time. So where we currently stand is  
15 that that subpoena is a nullity, but what I'm  
16 suggesting is that the defendant might be able  
17 to submit another subpoena to Knobbe that  
18 revises the proposed topics here to make it much  
19 more sensible, in a way that even if Knobbe did  
20 object, what might ultimately permit testimony  
21 on a 30(b)(6) basis. And I'm trying to give you  
22 some, some hints as to how it is that you might  
23 do that in a way that could both ultimately  
24 allow the defendant to obtain some of this

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1 testimony and ideally to do so in a way that  
2 doesn't involve the Court any further. So I  
3 mean, that was my intent. Is there anything  
4 about that that is unclear?

5 MR. NELSON: No. I just wanted to  
6 clarify that? Did that make sense, Your Honor?  
7 The only concern I have, Your Honor, is I  
8 understand what Your Honor was saying regarding  
9 specific pieces of prior art. My only concern  
10 is topic 12 calls out one of those specific  
11 pieces of prior art and Your Honor granted the  
12 request to quash number 12. Is there a way we  
13 could ask about prior art that Your Honor would  
14 think would be more suited, because I'm  
15 concerned if we were to specifically list out  
16 the prior art under topic 9, we would run into  
17 the same problem that we ran into with topic 12?

18 THE COURT: Well, what I would  
19 offer you is that topic 12, at least the way it  
20 was -- the way it was phrased and in conjunction  
21 with topic 11, appeared to the Court to be  
22 written in a way that it could broadly ask for  
23 information about a general subject matter area  
24 that in some way relates to computing, as

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1 opposed to some much more particularized issue  
2 that may have relevance to the claims or  
3 defenses at issue in the case. If there is a  
4 piece of prior art that in some way is related  
5 to SSTW that is asserted, you know, to be  
6 relevant to the invalidity case here and that  
7 defendants could demonstrate that, it's possible  
8 that that could be a more particularized subject  
9 matter that could be appropriate. So the point  
10 of the Courts's order as to the way topics 11  
11 and 12 appeared to be framed, they appeared to  
12 be broad references to broad categories of  
13 general information about computer related  
14 topics. Which is, seems unusual and not  
15 particularly helpful. So that's what I was  
16 intending to signal with my prior order.

17 MR. NELSON: Understood, Your  
18 Honor. Thank you for the clarification.

19 THE COURT: Okay. All right.  
20 Thanks, counsel. Wish everybody continued  
21 health and safety and we'll go off the record  
22 and end our teleconference today. Take care.  
23 (End at 3:26 p.m.)  
24

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5 CERTIFICATE OF REPORTER  
6

7 I, Stacy M. Ingram, Certified Court Reporter  
8 and Notary Public, do hereby certify that the  
9 foregoing record, Pages 1 to 65 inclusive, is a true  
10 and accurate transcript of my stenographic notes  
11 taken on October 11, 2022, in the above-captioned  
12 matter.  
13

14 IN WITNESS WHEREOF, I have hereunto set my  
15 hand and seal this 11th day of October 2022, at  
16 Wilmington.  
17

18 /s/ Stacy M. Ingram  
19 Stacy M. Ingram, CCR  
20

21  
22  
23  
24  
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